



# **Basic of SEERS Implementation at Hercules measurement systems**

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# Contents

- ***Introduction & Motivation***
- ***SEERS Fundamentals***
- ***SEERS Theory***
- ***Setup Hercules***
- ***Process Development with Hercules***
- ***Process Control with Hercules***
- ***Summary***



## Plasma Monitoring with SEERS

**parameter**

- electron density
- electron collision rate
- plasma bulk power

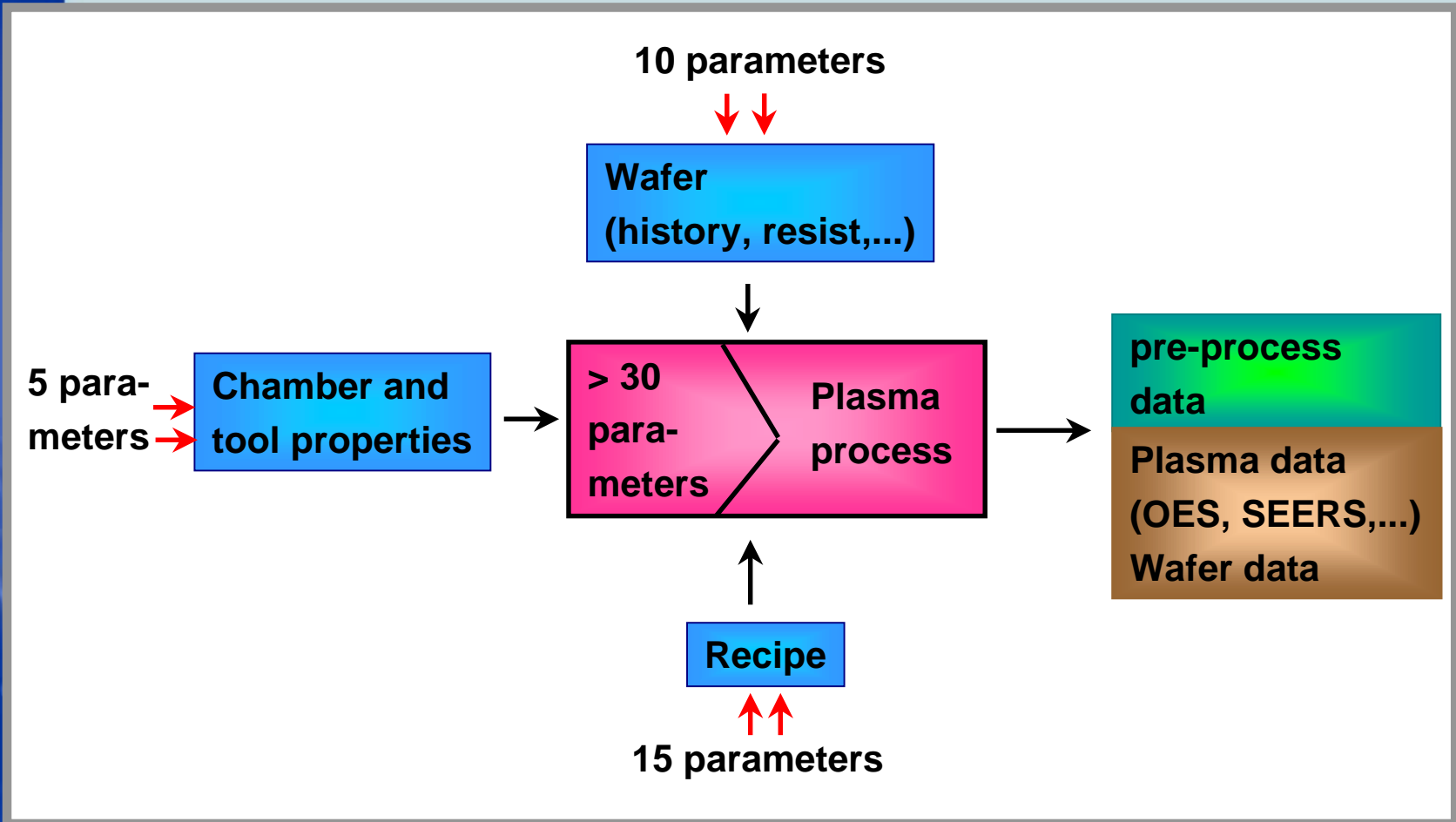
**rf sensor**

- passive
- non intrusive
- easy to install
- reversible
- tolerant to deposited layers

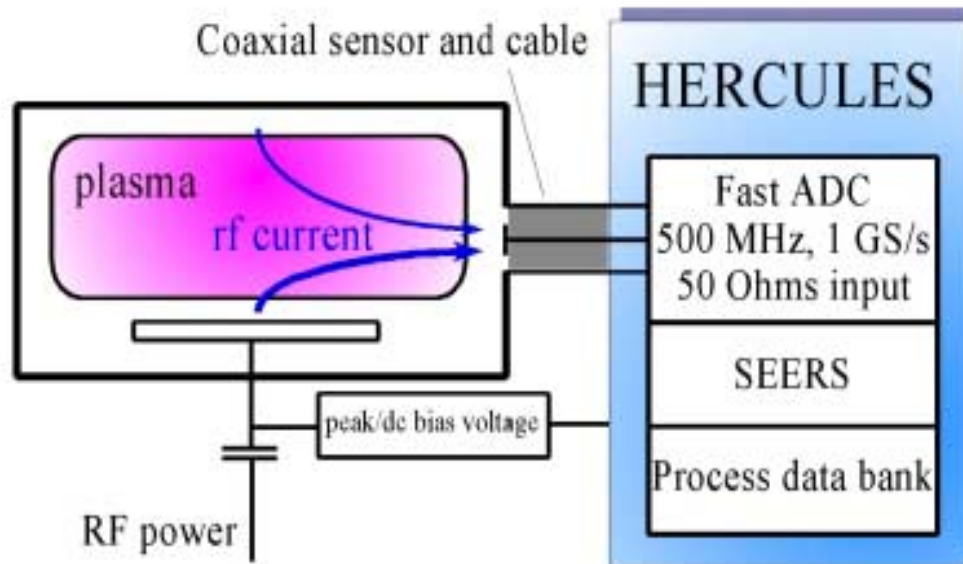
**information**

- absolute values
- volume averaged values
- SECS/Brookside/Customer communication moduls

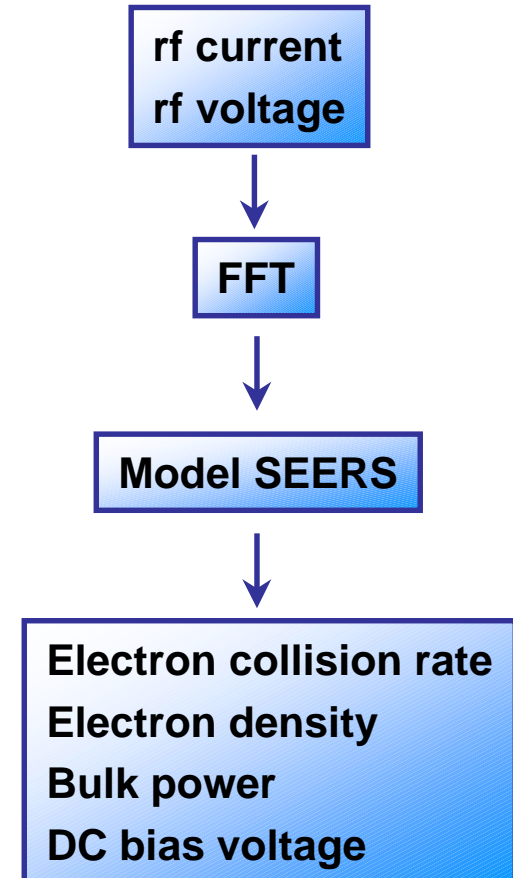
# Limitation and possibilities of process monitoring



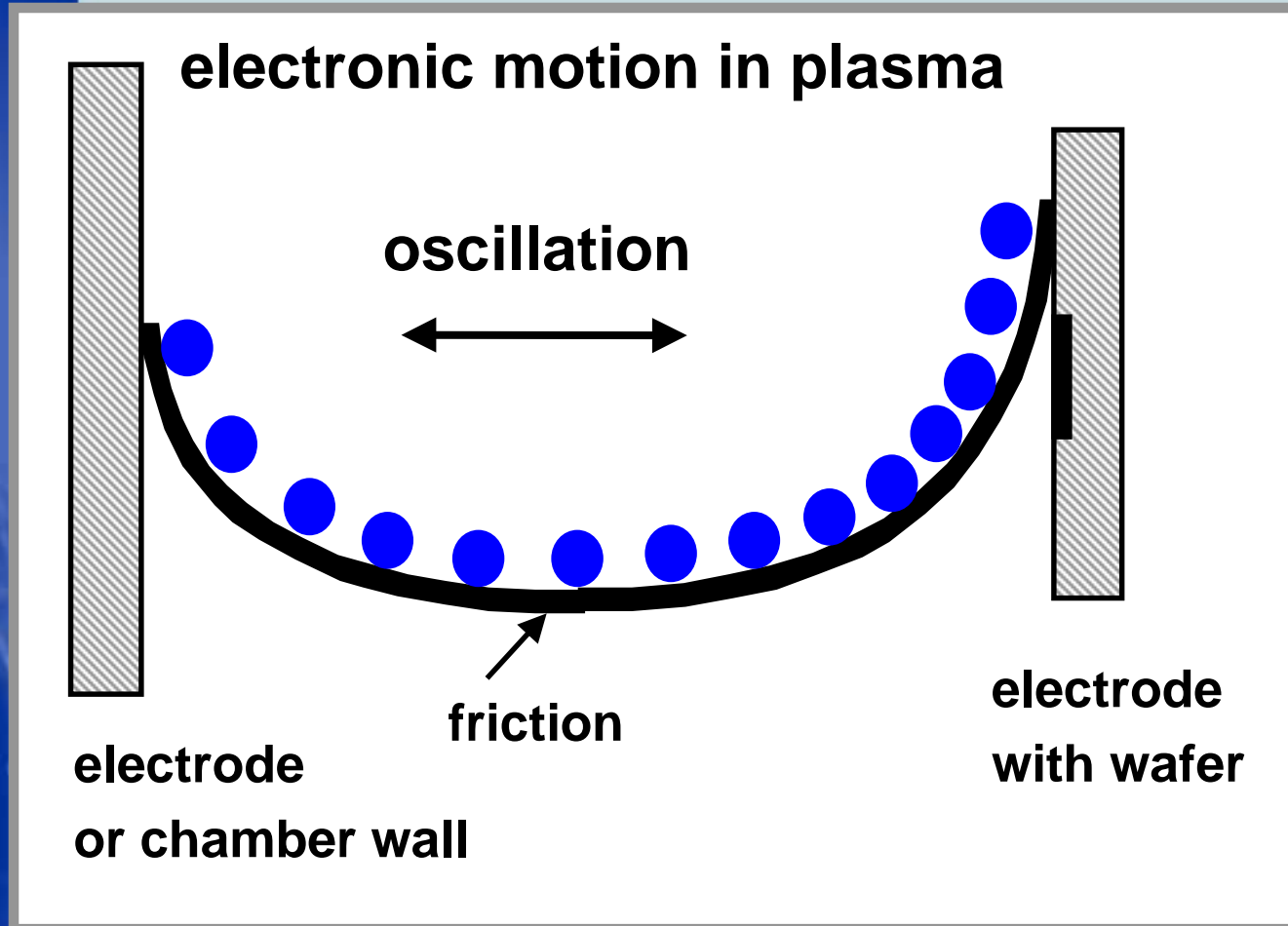
# Principle and experimental setup



- **Passive** electrical method, no influence on the plasma
- **Integral** measurement

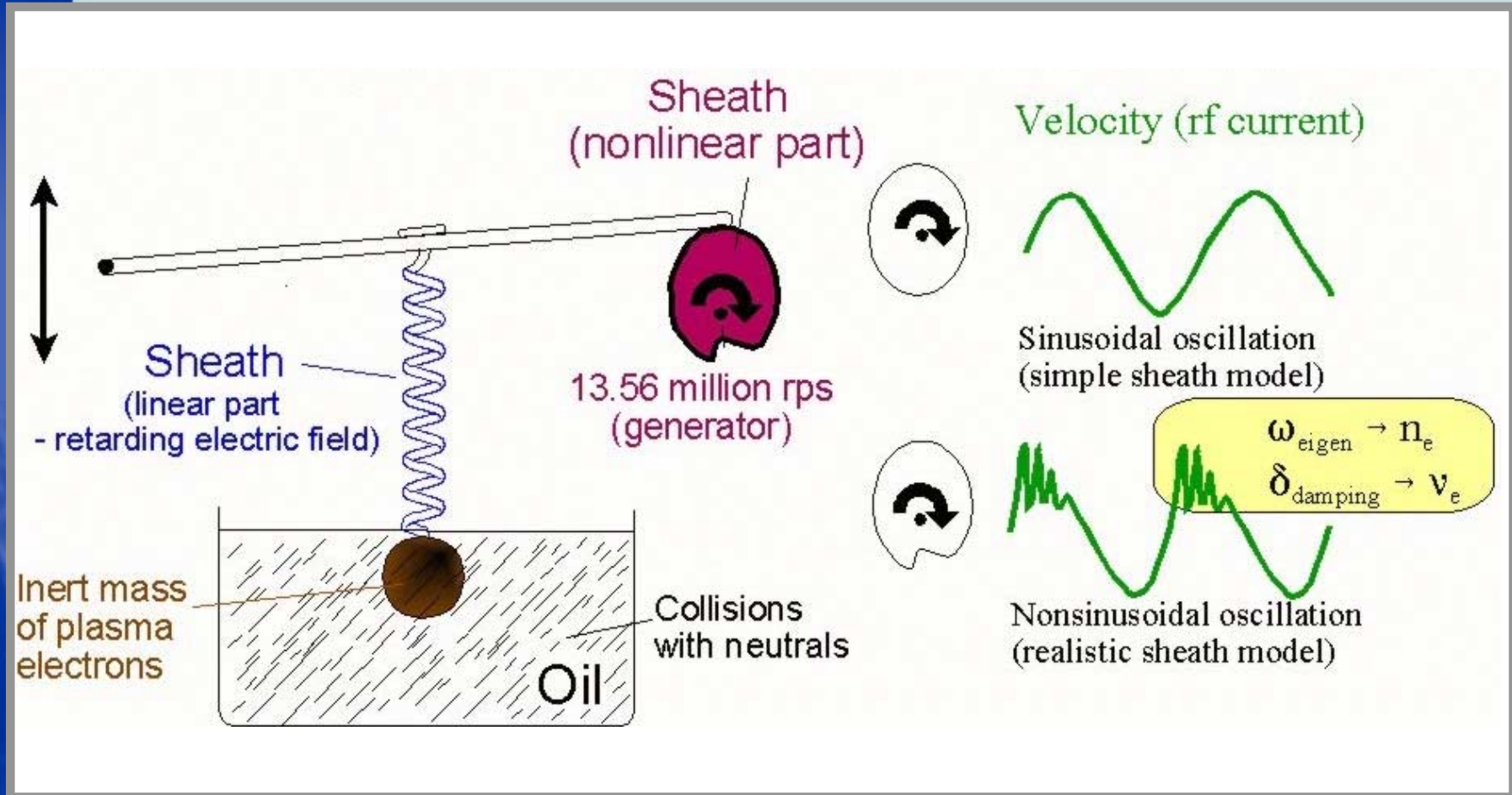


# SEERS - an easy explanation



# Hercules: A SEERS Implementation

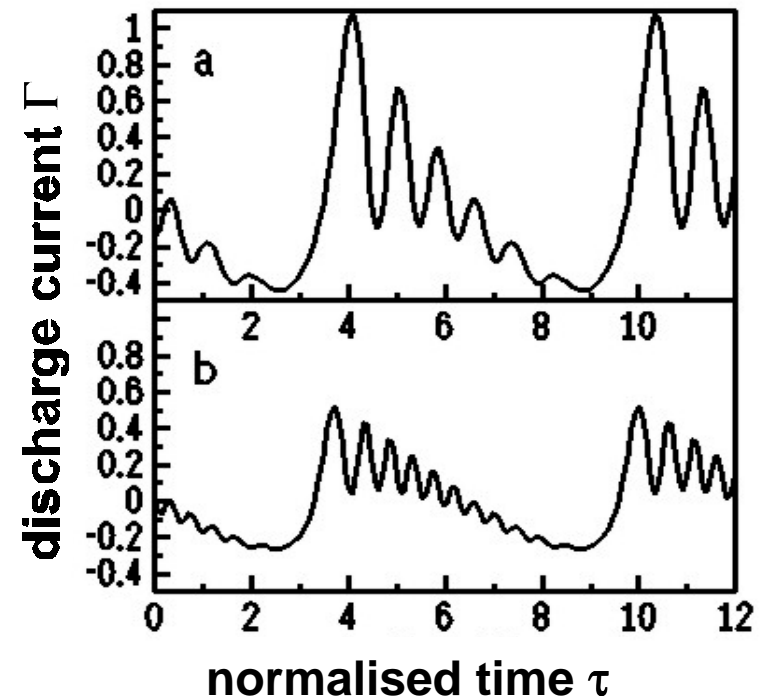
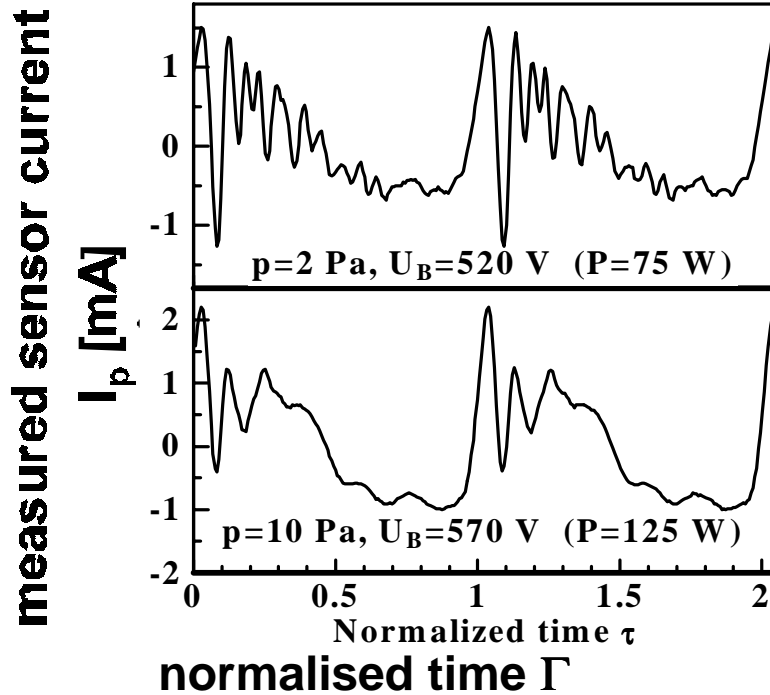
## Mechanical analogon of SEERS



## Self Excited Electron Resonance Spectroscopy

# Discharge current

## Measured and calculated current in Ar



a)  $\Omega = 0.05$ ,  $\rho = 8$ ,  $\Delta = 6$ ,

b)  $\Omega = 0.03$ ,  $\rho = 4$ ,  $\Delta = 3$ ,  
 $\hat{\eta} = U/U_{Te} = 100$ ,  $\hat{\eta}_B = U_B/U_{Te} = 100$

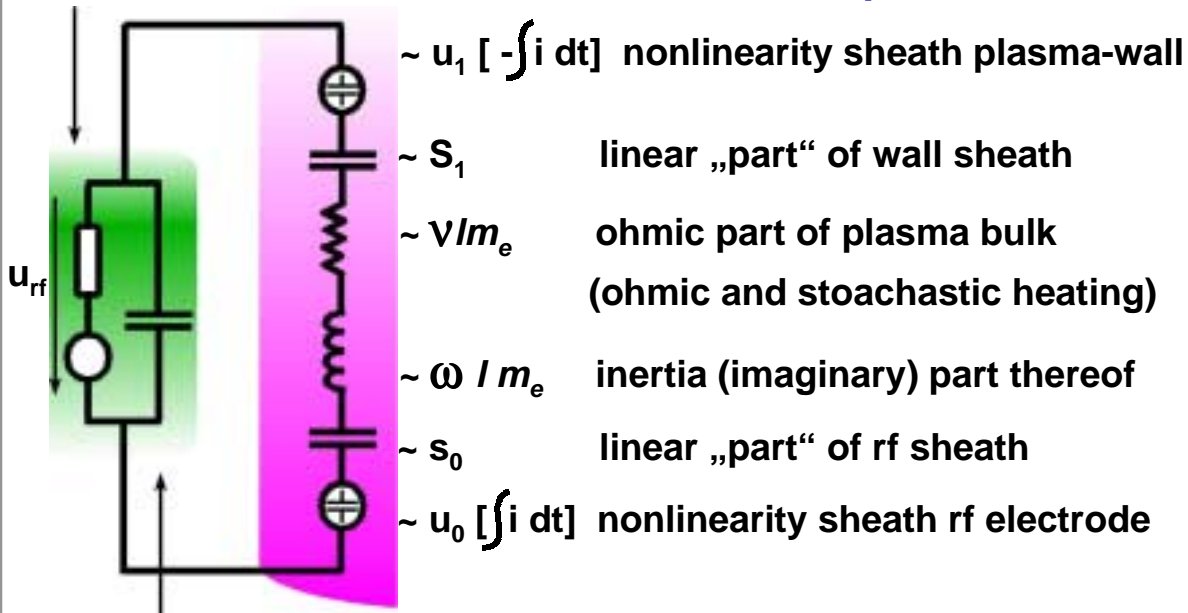
Source: M. Klick et al., Jpn. J. Appl. Phys., Part I, 36(1997)7B, 4625.

# Basic HERCULES Model

High Frequency Electron Resonance Current Low Pressure Spectroscopy

Matchbox and generator

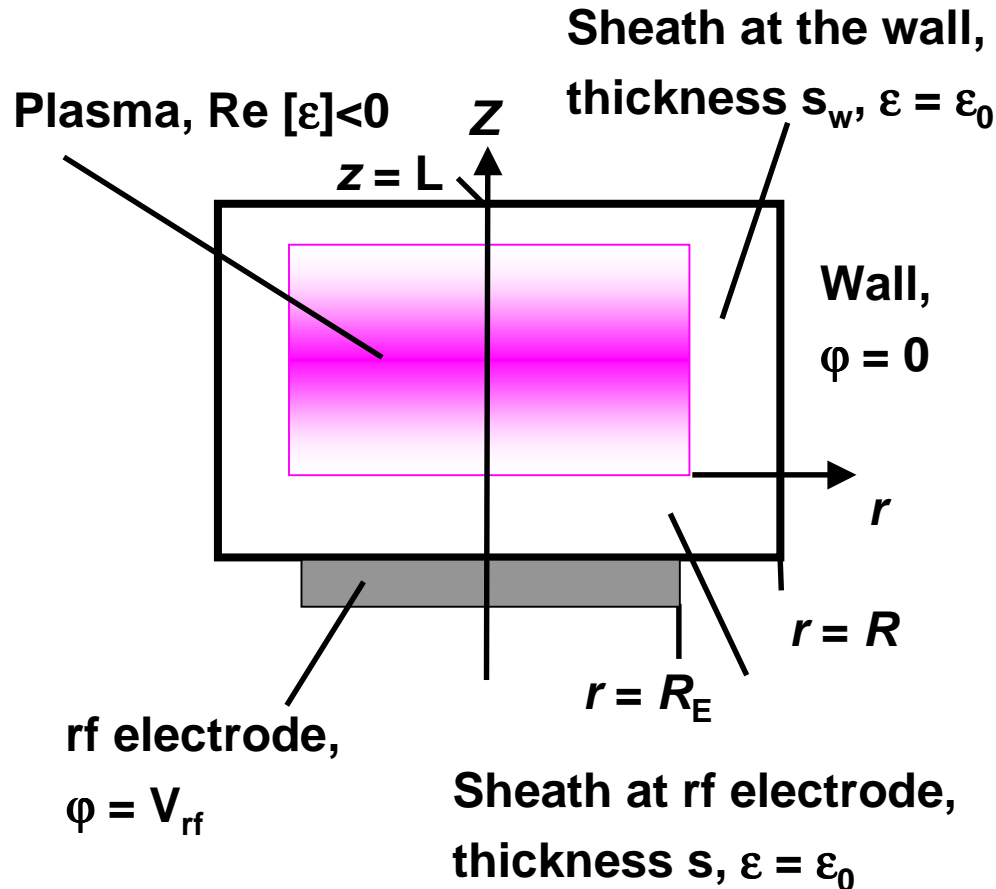
HERCULES equivalent circuit



Feed-through and  
stray capacitance

# Basic equations

## RF current distribution of cylindrical RF discharge



$$j = -i \omega \nabla H$$

(H ... generating function of rf density j)

Harmonic function H:

$$\nabla H = \nabla \cdot \nabla H = - \frac{\nabla \cdot j}{i \omega} = 0$$

$$\nabla \times j = 0, \nabla j = 0$$

➡ Laplace equation  
(in cylindrical coordinates)

Source: M. Klick et al., Jpn. J. Appl. Phys., Part I, 36(1997)7B, 4625.



# Basic equations

## RF current distribution of cylindrical RF discharge

Boundary conditions:

$$H(r, z) = \begin{cases} H_0 & \forall r \leq R_E \wedge z = 0 \\ H_0 \ln \frac{R}{r} / \ln \frac{R}{R_E} & \forall R_E < r \leq R \wedge z = 0 \\ 0 & \forall r \leq R \wedge z = L \\ 0 & \forall r \leq R \wedge 0 \leq z \leq L \end{cases}$$

Series solution:

$$H(r, z) = \sum_k J_0(kr) (A_k \cosh kz + B_k \sinh kz)$$

Source: M. Klick et al., Jpn. J. Appl. Phys., Part I, 36(1997)7B, 4625.



# Basic equations

## Plasma body properties

Plasma frequencies:  $\omega_{e,i} = \left( \frac{n_{e,i} e^2}{m_{e,i} \epsilon_0} \right)^{1/2}, \omega_i \ll \omega \ll \omega_e$

Hydrodynamic approach and cold plasma approximation for the electrons in the plasma body

Permittivity of plasma body,  $\text{Re} [\epsilon] < 0$ :  $\frac{\epsilon_0}{\epsilon} = 1 - \frac{\omega_e^2(\vec{r})}{\omega(\omega - i\nu_e)}$

Continuation of displacement  $D$ :  $\nabla D = \nabla \cdot (\epsilon E) = \epsilon \nabla \cdot E + E \cdot \nabla \epsilon = 0$

Inhomogeneous plasma body  $\left| \frac{\nabla E}{E} \right| \gg \left| \frac{\nabla n_e}{n_e} \right| \approx \left| \frac{\nabla \epsilon}{\epsilon} \right|$

or  $\epsilon = f(\varphi)$ .

Source: M. Klick et al., Jpn. J. Appl. Phys., Part I, 36(1997)7B, 4625.



# Basic equations

## Plasma body impedance

Average density, analog to one-dimensional case:

$$\tilde{n} = \frac{n_0 - n_0}{\ln (n_0 / n_R)}$$

Neglecting displacement current in plasma body:

$$(\omega_e / \omega)^2 \gg 1 + (\nu / \omega)^2$$

Neglecting higher eigenvalues of the series solution and calculation of the whole current results in the impedance of the plasma body ( $R_E$ : electrode radius):

$$Z = \frac{m_e l}{\tilde{n} e^2 \pi R_E^2} (i\omega + \nu)$$

Effective length of plasma body,  
R radius of chamber,  
 $x_0 = 2.405$ , first zero of  $J_0$ :

$$l \approx \frac{R}{x_0} \tanh \frac{x_0 L}{n_e}$$

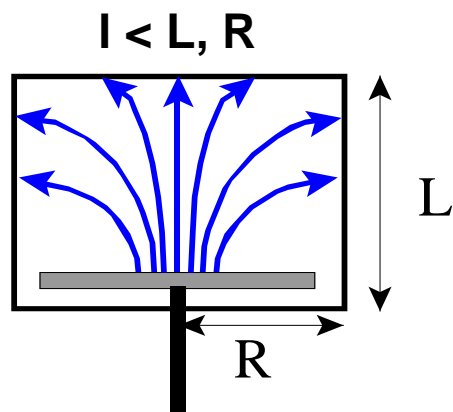
Resistive part  $\Rightarrow$  electron collision rate  $\nu$ ,  
Inductive part  $\Rightarrow$  angular frequency  $i\omega$ .

Source: M. Klick et al., Jpn. J. Appl. Phys., Part I, 36(1997)7B, 4625.

# Novel Feature of Hercules 2.4

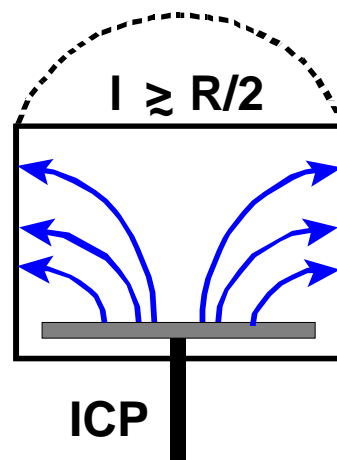
## SEERS model adaptation to different etch tools

different RF current distributions  
effective length of plasma body



**RIE**

**icpmode = 0**

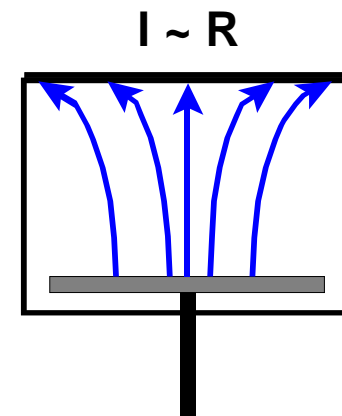


**inductive coupling on top**

**- LAM TCP**

**- Applied Materials DPS**

**icpmode = 1**



**STS ICP**

**icpmode = 2**

Source: M. Klick, WORKSHOP on SEERS, Infineon Technologies, Dresden, 1999



# Basic equations

## The characteristic equations of SEERS

Normalized inductive ( $\Lambda$ ) and resistive ( $\rho$ ) part of impedance

$$\Lambda = \frac{n_0}{\tilde{n}} \frac{l}{\lambda_D} \frac{\omega}{\omega_e}, \quad \rho = \frac{n_0}{\tilde{n}} \frac{l}{\lambda_D} \frac{v_e}{\omega_e}, \quad \Omega = \frac{\omega_{rf}}{\omega_e}, \quad \mu = \frac{\omega}{\omega_{rf}}$$

Displacement current of the rf sheath:  
(J. Appl. Phys. 79, 3445 (1996))

$$\Gamma_d = \frac{\Omega}{\delta(\eta_s)} \frac{d\eta_s}{d\tau}$$

Characteristic equation in frequency domain (\* denotes convolution):

$$i \mu \eta_{rf} = \Omega^{-1} \delta^* \Gamma + i \mu \rho \Gamma - \mu^2 \Lambda$$

Source: M. Klick et al., Jpn. J. Appl. Phys., Part I, 36(1997)7B, 4625.



# The RF sheath

## Introduction

Debye length:

$$\lambda_D = \left( \frac{\epsilon_0 k T_e}{n_0 e} \right)^{1/2} \ll L, R$$

Normalized quantities ( $n_0$  density owing to Bohm criterion):

$$\eta = \frac{V_0 - V}{k T_e / e}, \quad \delta = \frac{s}{\lambda_D}, \quad \tau = \omega_{rf} t.$$

$$\Gamma = \frac{j}{j_{es} (2\pi)^{1/2}}, \quad (2\pi)^{1/2} j_{es} = e n_0 \lambda_D \omega_e = e n_0 \left( \frac{k T_e}{m_e} \right)^{1/2}.$$

Source: M. Klick et al., Jpn. J. Appl. Phys., Part I, 36(1997)7B, 4625.



# The RF sheath

## Displacement current of the RF sheath

Displacement current density  $j_d$  in the sheath in units of the Maxwellian electron saturation current density  $j_{es}$  at  $\xi = 0$ :

$$\Gamma_d = \frac{j_d}{(2\pi)^{1/2} j_{es}} \quad (1)$$

The electron current density can be expressed as

$$(2\pi)^{1/2} j_{es} = e n_0 \lambda_D \omega_e = e n_0 \left( \frac{k T_e}{m_e} \right)^{1/2} \quad (2)$$

Restriction of vanishing displacement current:

$$\forall \Gamma_d(\xi) = 0 \Leftrightarrow \forall \left( \frac{d\zeta}{dt} \right) = 0 \Rightarrow \left( \int_0^{\xi_1} \frac{d\zeta}{dt} d\xi = \frac{\partial \eta'_1}{\partial t} = 0 \right) \quad (3)$$

$\xi < \xi_1$                        $\xi < \xi_1$

$\eta'_1$  denotes  $d\eta / d\xi$  for  $\xi = \xi_t$  ( $\xi_t$  is a function of time).

Source: M. Klick et al., Jpn. J. Appl. Phys., Part I, 36(1997)7B, 4625.



# The RF sheath

## Displacement current and sheath thickness

$$\Gamma_d = \frac{1}{\omega_e} \frac{d}{dt} \int_0^{\xi_w} \zeta d\xi = \frac{1}{\omega_e} \frac{d}{dt} \left( \int_0^{\xi_1-0} \zeta d\xi + \int_{\xi_1+0}^{\xi_w} \zeta_+ d\xi \right) \quad (4)$$

Known relation for  $\Gamma_d$  depending on sheath width and ion density:

$$\Gamma_d = - \frac{\zeta_{+1} - \zeta_1}{\omega_e} \frac{d\xi_1}{dt} \quad (5)$$

$$\zeta_{+1} \doteq \zeta_+ (\xi_1 + 0) \qquad \zeta_1 \doteq \zeta_+ (\xi_1 - 0)$$

Source: M. Klick et al., Jpn. J. Appl. Phys., Part I, 36(1997)7B, 4625.



# Sheath potential

## RF potential and sheath thickness

Avoiding to calculate explicitly ion and sheath width, integrating the POISSON equation yields for  $\xi > \xi_1$ :

$$\eta(\xi, t) = \int_0^{\xi} \int_0^{\mu} \zeta(v) dv d\mu = \int_{\xi_1+0}^{\xi} \int_{\xi_1+0}^{\mu} \zeta_+(v) dv d\mu + \eta(\xi_1, t) + \eta'(\xi_1) (\xi - \xi_1) \quad (6)$$

and at  $\xi > \xi_w$ :

$$\eta(\xi_w, t) = \eta_w(t) = \int_{\xi_1+0}^{\xi_w} \int_{\xi_1+0}^{\mu} \zeta_+(v) dv d\mu + \eta(\xi_1, t) + \eta'(\xi_1) (\xi - \xi_1) \quad (7)$$

Source: M. Klick et al., Jpn. J. Appl. Phys., Part I, 36(1997)7B, 4625.



# The RF sheath

## Displacement current and RF potential

Differentiation with respect to  $\xi_1$  for  $\xi > \xi_1$ :

$$\frac{d\eta}{d\xi_1} = -\zeta_{+1} (\xi - \xi_1) + \frac{d\eta'_1}{d\xi_1} (\xi - \xi_1) = -(\zeta_{+1} - \zeta_1)(\xi - \xi_1) \quad (8)$$

and at  $\xi > \xi_w$ :

$$\frac{d\eta_w}{d\xi_1} = -\zeta_{+1} (\xi_w - \xi_1) + \frac{d\eta'_1}{d\xi_1} (\xi_w - \xi_1) = -(\zeta_{+1} - \zeta_1)(\xi_w - \xi_1) \quad (9)$$

Introduction sheath width  $\delta = \xi_w - \xi_1$  depending on the sheath voltage  $\eta_w$  in conjunction with Eq. (5):

$$\Gamma_d = - \frac{1}{\omega_e(\xi_w - \xi_1)} \frac{d\eta_w}{dt} = \frac{1}{\omega_e \delta} \frac{d\eta_w}{dt} \quad (10)$$

This relation is independent of the density distribution  $\zeta_{e,+}$  and is not a simple extension of that for a capacitance with movable plates (no function of  $d\delta/d\eta_w$ ).

Source: M. Klick et al., Jpn. J. Appl. Phys., Part I, 36(1997)7B, 4625.



# The RF sheath

## Generalized model I

Known models based on the approximation density by a step-wise profile. For steady state ion distribution, where  $\omega \gg \omega_i$ , this disadvantage can be avoid.

POISSON equation can be transformed into the equivalent integral equation:

$$\eta_w = \int_{\xi_0}^{\xi_w} (\xi_w - v) \zeta(v) dv + \eta(\xi_0) + \frac{d\eta(\xi)}{d\xi}, \quad (11)$$

Potential and field strength at  $\xi_0$  are boundary conditions ( $\xi_0$  outside sheath) introducing the displacement flux at the wall as the net charge in the sheath:

$$\Psi_w = \int_{\xi_0}^{\xi_w} \zeta(\xi) d\xi, \quad (12)$$

Relation between displacement current and displacement flux:

$$\Gamma_d = - \frac{1}{\omega_e} \frac{d\Psi_w}{dt}. \quad (13)$$

Source: M. Klick et al., Jpn. J. Appl. Phys., Part I, 36(1997)7B, 4625.



# The RF sheath

## Generalized model II

**Exact definition of the dynamic sheath boundary  $\delta$ :**

$$\delta = \frac{d\eta_w}{d\Psi_w}, \quad (14)$$

**Distance to wall:  $x = \xi_w - \xi$ ,  $\zeta_x(x) = \zeta(\xi_w - x)$ .**

$$\delta = \frac{\int_0^{\hat{\delta}} \frac{\partial \zeta_x(x,t)}{\partial t} x \, dx}{\int_0^{\hat{\delta}} \frac{\partial \zeta_x(x,t)}{\partial t} \, dx} \quad (15)$$

- **assumption of a wise distribution of electrons within the sheath,**
- **precondition: explicit dependence of the electron density on the potential, e.g., Boltzmann distribution.**

Source: M. Klick et al., Jpn. J. Appl. Phys., Part I, 36(1997)7B, 4625.

# Parameter delivered by Hercules

reciprocally spatially averaged

**Electron density:**

$$\tilde{n} \approx \left( \frac{1}{V} \int_V n^1 dV \right)^{-1}$$

**Electron collision rate:**

$$\tilde{\nu} \approx \frac{\tilde{n}}{V} \int_V \frac{v}{V} dV$$

**Bulk power:**

$$P_B \propto \frac{\tilde{\nu}}{\tilde{n}} \sum [ I^{(k)} ]^2$$

# Meaning of fundamental plasma parameters

## Reciprocal averaged values

**Electron density  $n_e$ :**

$$\bar{n} \approx \left( \frac{1}{V} \int_V n^{-1} dV \right)^{-1}$$

- proportional to ion currents
- simple correlation with etch rate in the case of dominating „physical“ (sputter) etching

**Collision rate:**

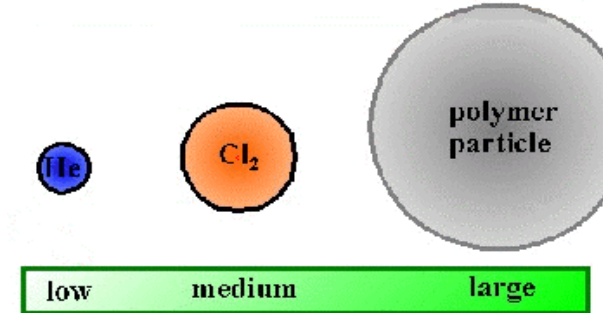
$$\bar{\nu} \approx \frac{\bar{n}}{V} \int_V \frac{\nu}{n} dV$$

- interaction between electrons and neutrals
- impact of electrons on chemistry
- feedback from chemistry via cross sections and relative concentration of species

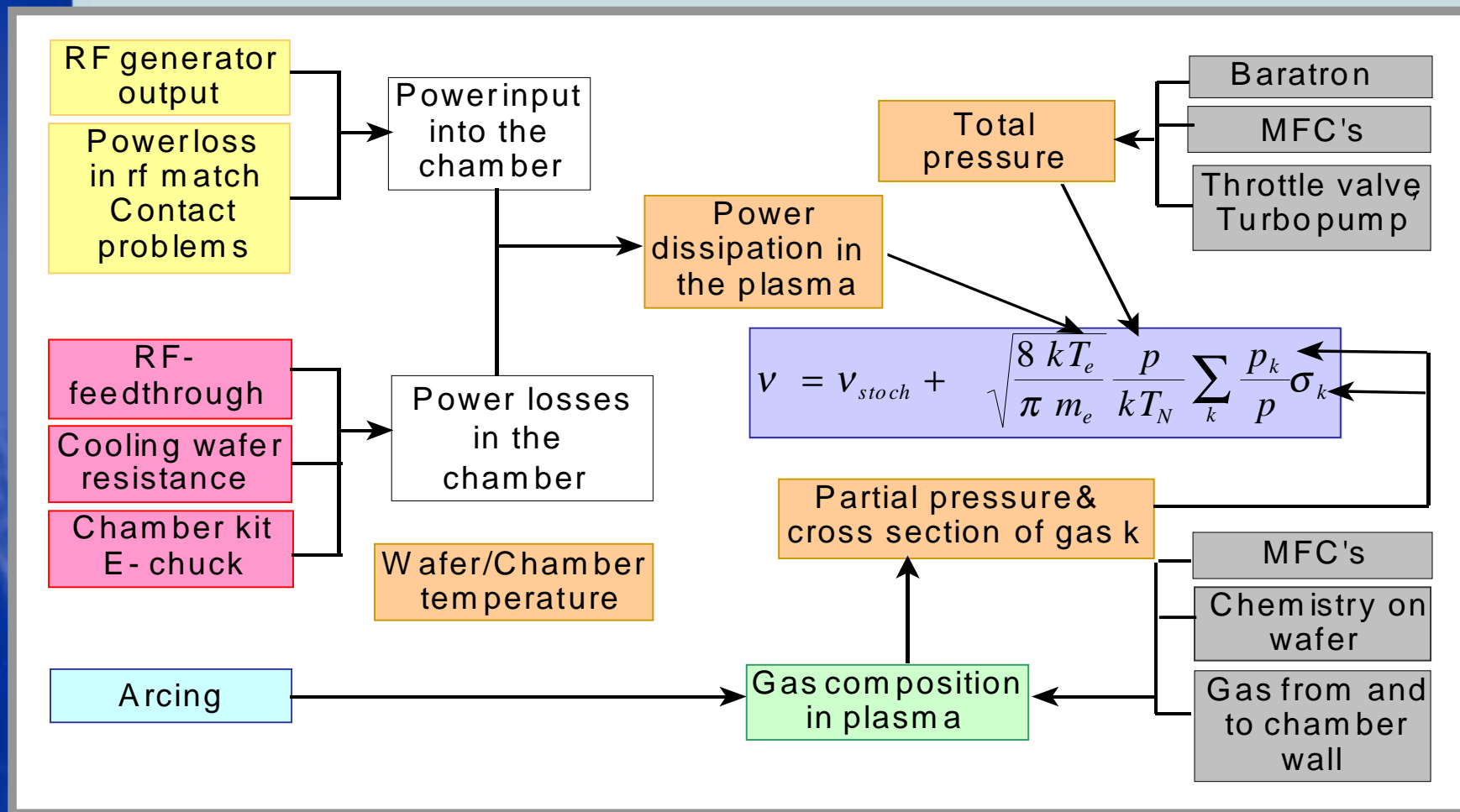
stochastic heating (sheath)      electron temperature      gas pressure

$$\nu = \nu_{stoch} + \sqrt{\frac{8 k T_e}{\pi m_e}} \frac{p}{k T_N} \sum_k \frac{p_k}{p} \sigma_k$$

gas temperature      relative concentration



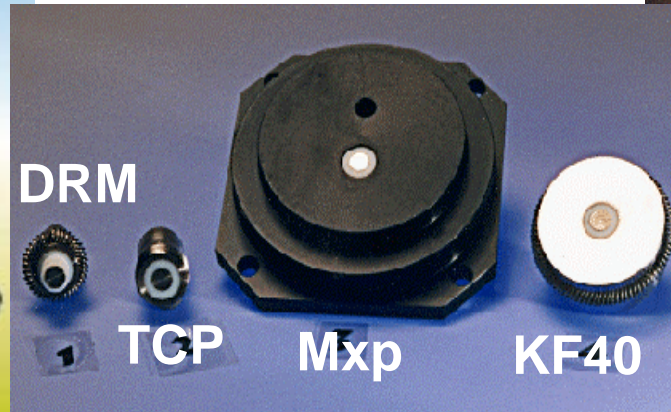
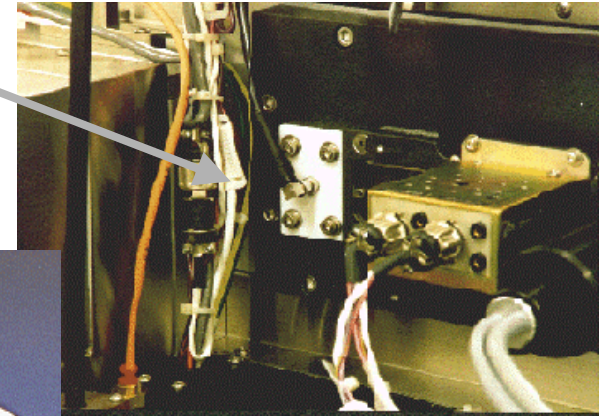
# Depending of collision rate on tool parameters



# Hardware and Installation



Sensor at  
Lam TCP 9600SE



## Sensor

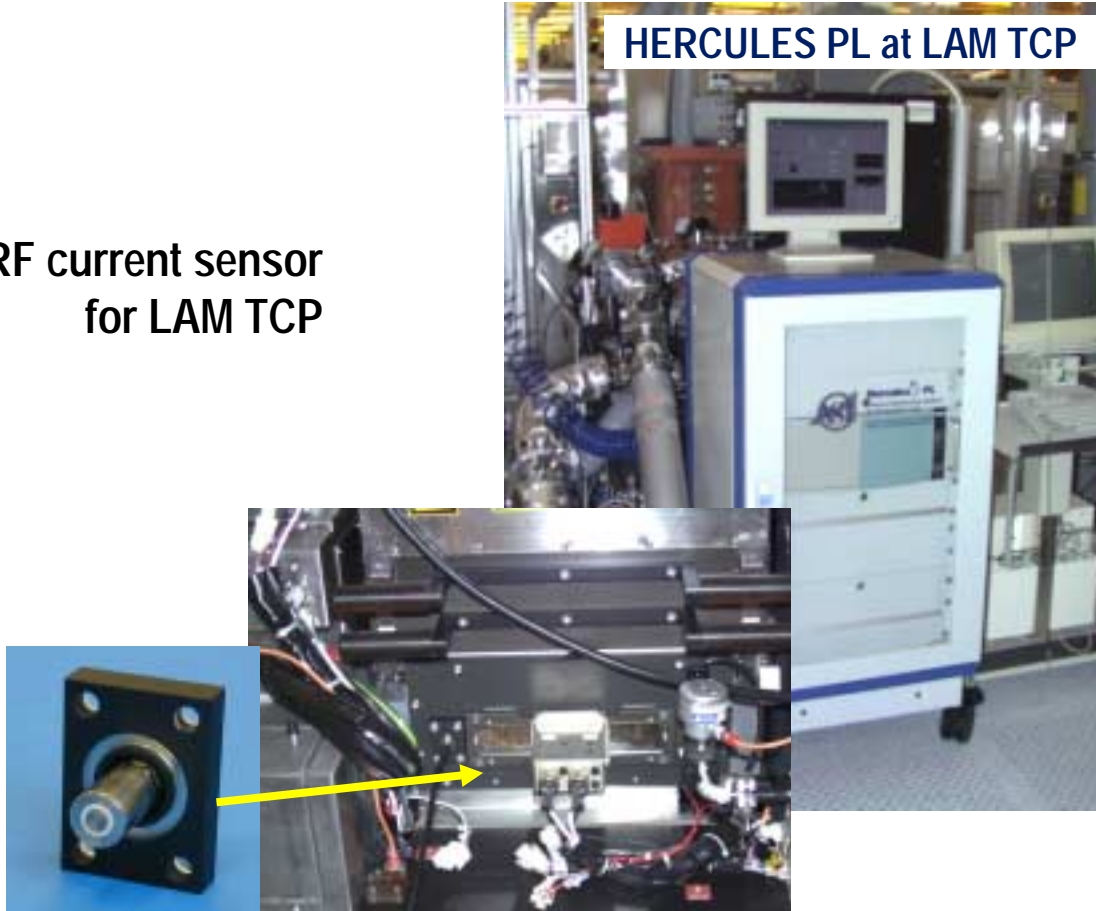
- easy setup and installation for different tools
- insensitive to deposition insulating layers (polymers)
- passive and removable internal plasma parameters

# HERCULES Sensor Types form LAM TCP



RF current sensor  
for LAM TCP

HERCULES PL at LAM TCP



Source: U. Nehring, AEC/APC-Symposium XII, 2000, USA

# Adaption of HERCULES Sensor Types

**Surfaces: anodized aluminum, similar to chamber wall**



## DPS

- peak voltage
- inductively coupled
- capacitive  $\neq$  inductive coupled frequency



## LAM 300

- peak voltage
- inductively coupled
- special software interface



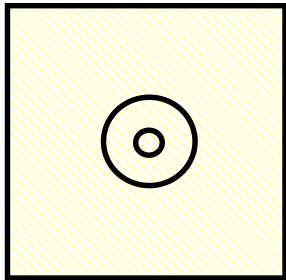
## eMxP+

- peak voltage
- rotating B-field
- optical access for OES

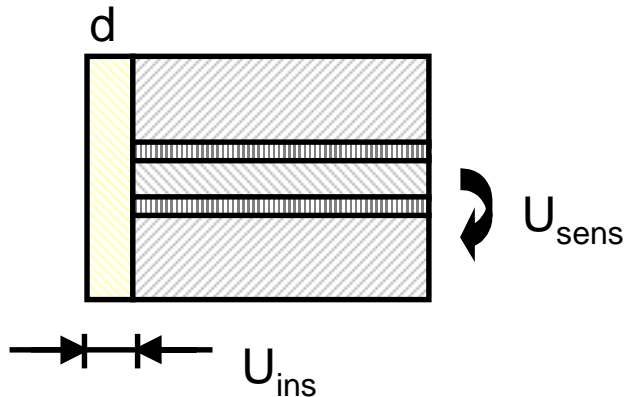
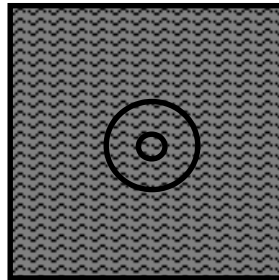
Source: V. Tegeder, AEC/APC-Symposium XII, 2000, USA

# Insulating layer on sensor

13 MHz



1 kHz



$d$ : polymer sheath thickness

$$Z_{ins} \ll 50 \text{ Ohm}$$

$$\frac{U_{ins}}{U_{sens}} \ll 1$$

no influence

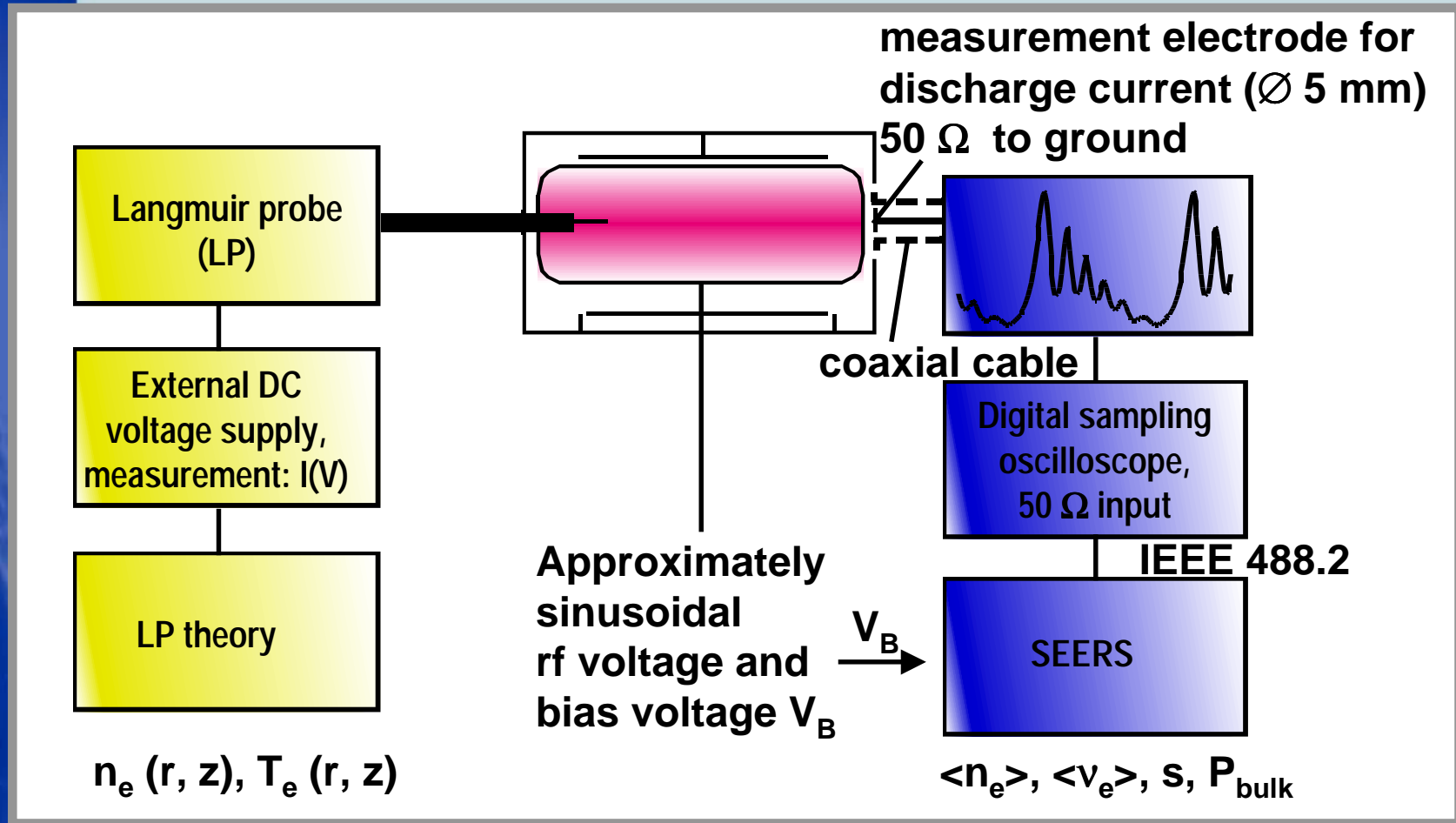
$$d \ll s$$

no influence

$s$ : space charge sheath thickness  $\sim 1 \text{ mm}$

# Comparison of Langmuir probe and SEERS

## Experimental setup

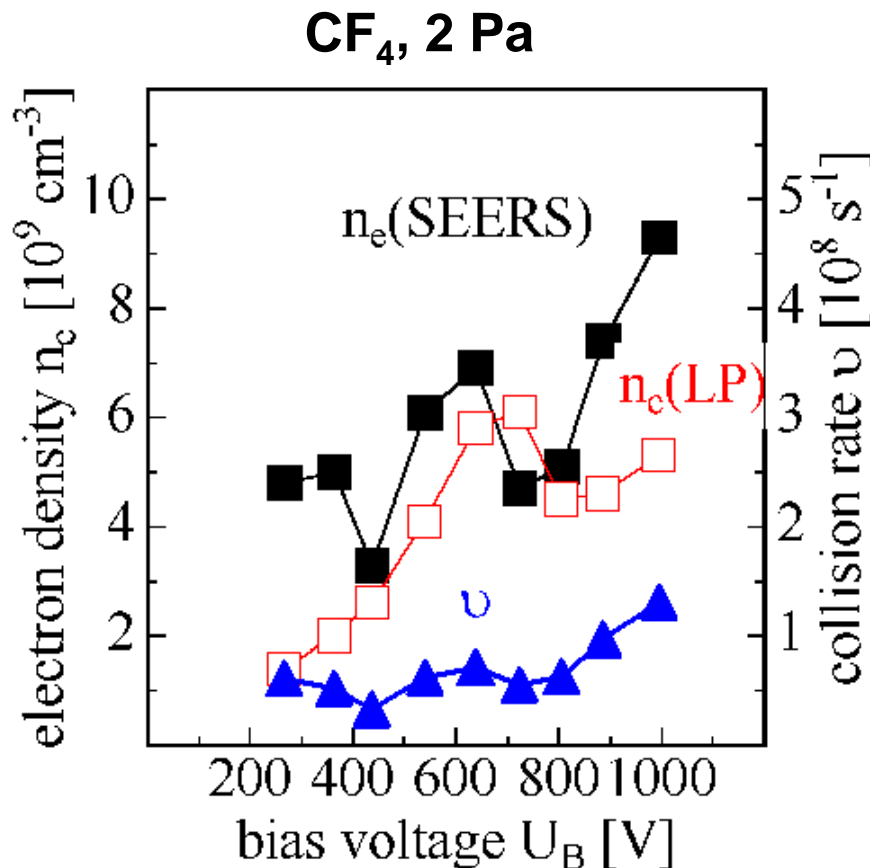


Source: M. Klick et al., Jpn. J. Appl. Phys., Part I, 36(1997)7B, 4625.



# Comparison of Langmuir probe and SEERS

## Electron density and collision rate vs. bias voltage



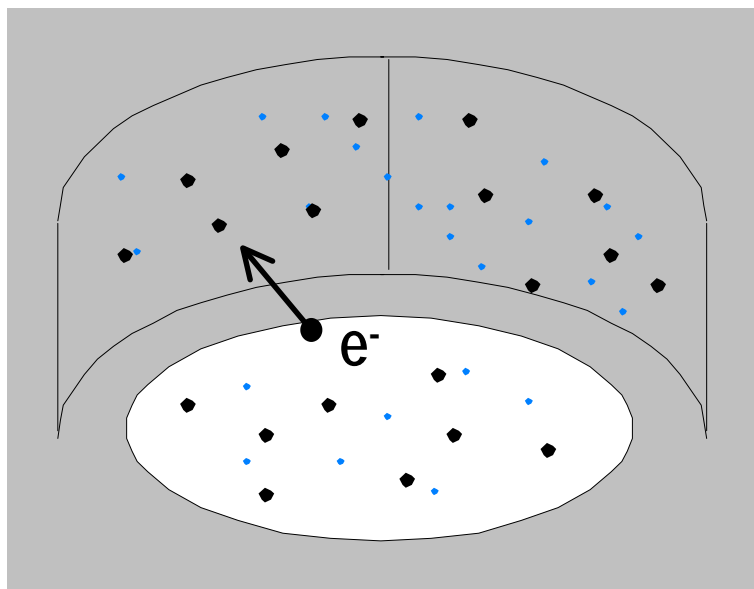
RIE, 13.56 MHz,  
electrode diameter 15.5 cm,  
chamber diameter 30 cm,  
electrode gap 6.7 cm.

For CF<sub>4</sub>, which is much more complex because of the high fragmentation, the discharge is slightly less stable yielding an increased error of both methods. A second known reason is the rising density of negative ions resulting in a different axial density profile in the bulk plasma of CF<sub>4</sub>.

Source: M. Klick et al., Jpn. J. Appl. Phys., Part I, 36(1997)7B, 4625.

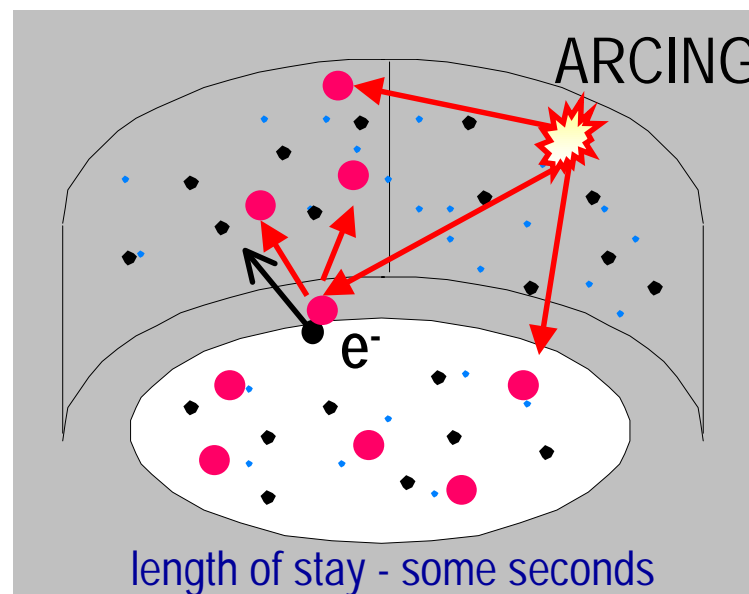
# Arcing detection

No arcing



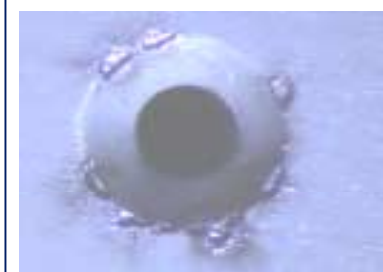
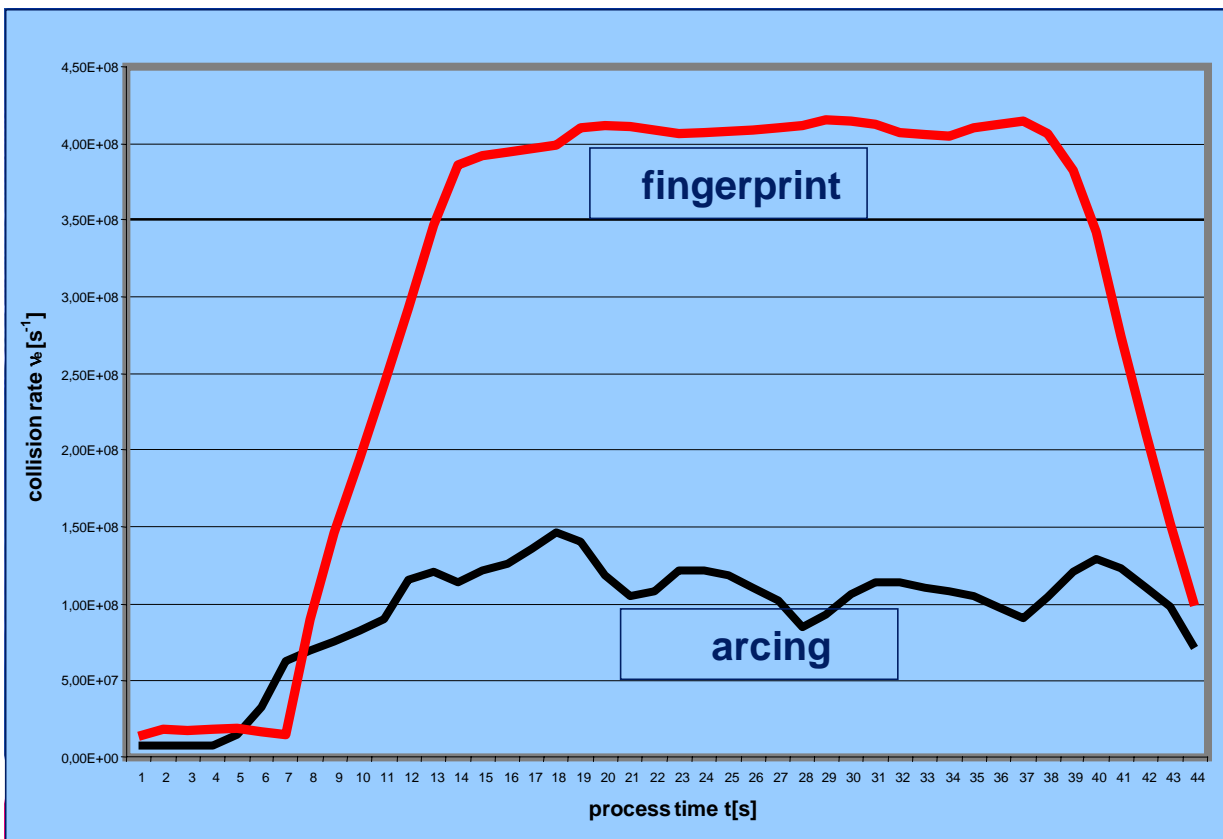
Process gas

In case of arcing - Impact on collision rate



Process gas and polymer

# Fast Tool start up



Arcing traces at gas distribution

## Recipe

### Step 1

25mtorr / 215W /  
30G/ 50 sccm O<sub>2</sub>

### Step 2

25mtorr / 215W /  
0G/ 50 sccm O<sub>2</sub>

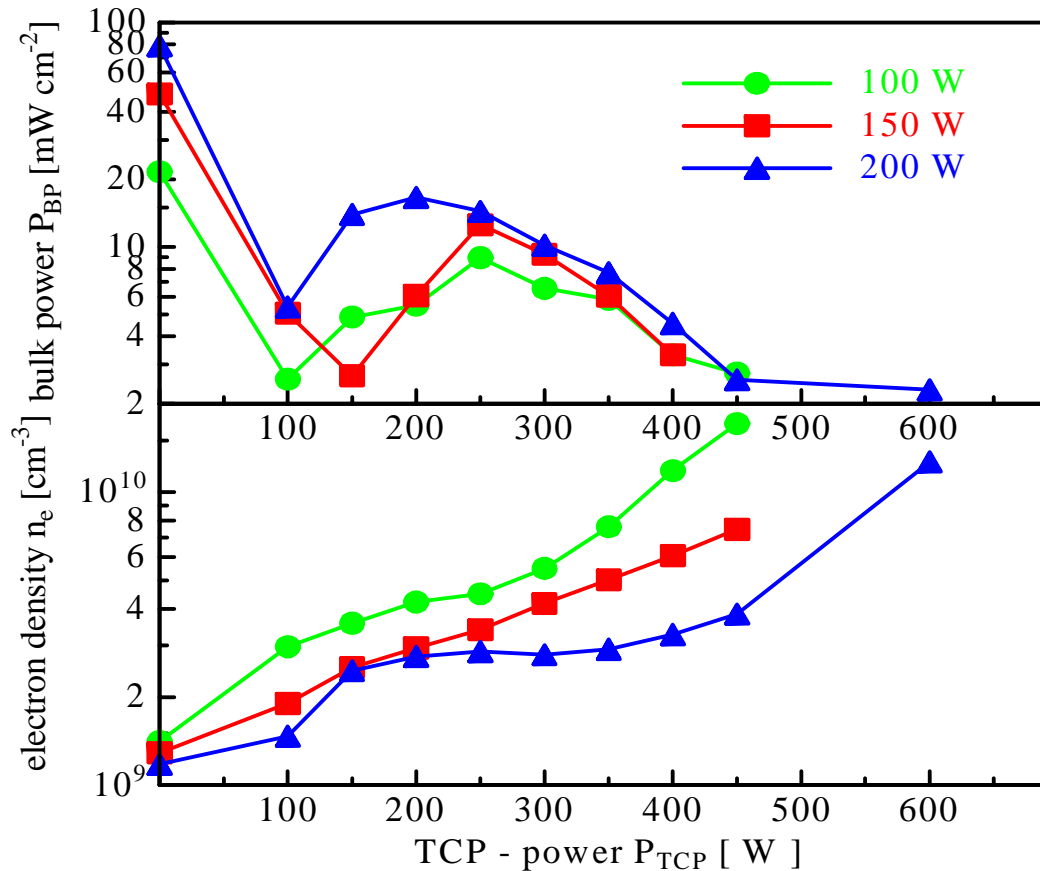
## Arcing detected at new tool

Source: V. Tegeder, AEC/APC-Symposium XII, 2000, USA



# LAM TCP 9600SE, metal etch

## Electron density and bulk power vs. TCP power



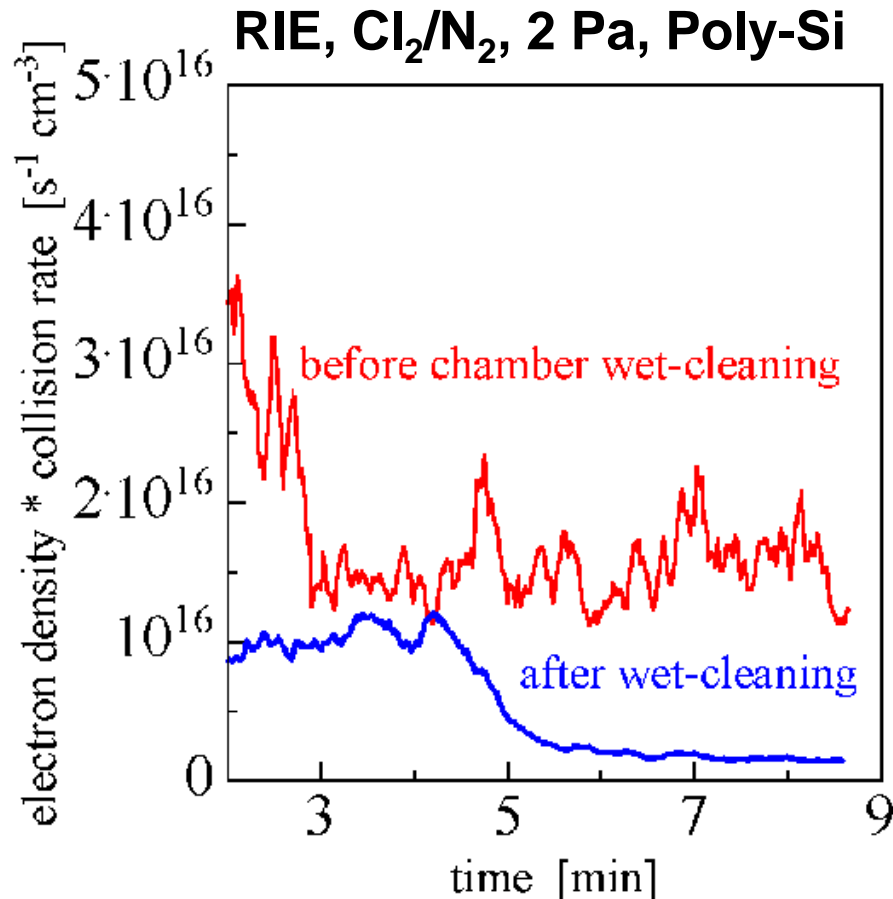
TCP power effects the density and collision rate of electrons and therefore the plasma impedance and the power dissipation of the bottom power (capacitive).

Mainly dependent on collision rate, the bulk power (bottom) decreases for increasing TCP power (>250W). This is the reason for the plateau in the electron density.

Source: S. Wurm, Lam Research Corp., 10th Ann. European Techn. Symp., Geneva, Switzerland, 1998.

# Plasma parameters before and after chamber cleaning

## Impact of background chemistry



The internal plasma parameters monitored during two standard dry-cleaning steps are shown.

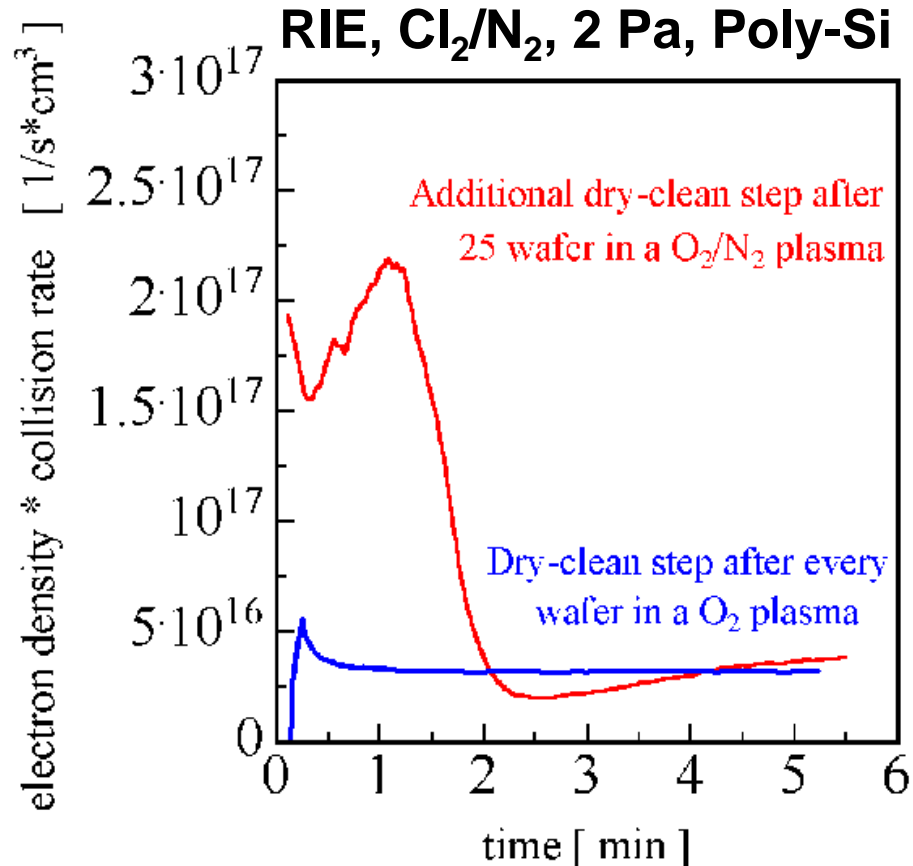
Before wet-cleaning of the chamber there is no pure HI plasma, it is strongly influenced by removing of deposited layers on the chamber wall.

After wet-cleaning the plasma is stable and removes the remaining contamination and solvents within five minutes.

Source: I. Orgzall et al., Future Fab International Vol. 2, 235, 1996

# Plasma parameters during two different cleaning

## Impact of background chemistry



The internal plasma parameters monitored during two standard dry-cleaning steps are shown.

The first step was realised after every etching process, the second only after 25 runs, additionally.

One can detect the efficiency of such clean steps.

Source: I. Orgzall et al., Future Fab International Vol. 2, 235, 1996

# Sputter clean control for in-line PVD

For some PVD applications, plasma based cleaning process are the precondition for a stable PVD process. Using the example of razor blade production, the sputter cleaning process is shown to be a critical one. Ten thousands are treated simultaneously at carriers. This process is designed to remove residues from pre-processes, e.g., solvents. Removing the residues as well as sputter effects at the blade and carrier surfaces leads to the deposition of a metal-organic layers at the chamber wall. The process chamber investigated is part of a high throughput in-line sputter tool. Owing to the resulting aging of the process chamber, cleaning procedures are often necessary.

secondary plasmas

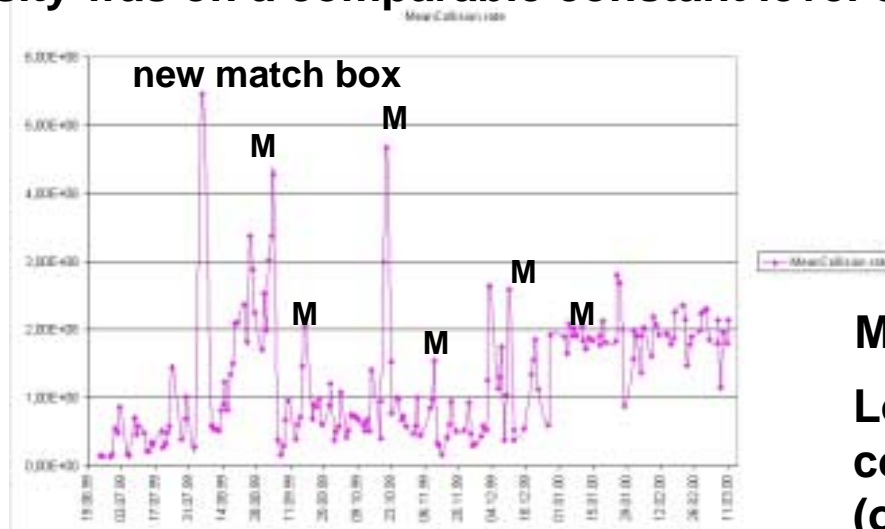


**Long term monitoring of averaged collision rate (one point one carrier)**

Source: P. Krenzlin, Gillette, AEC/APC Workshop Europe, Dresden, 2000.

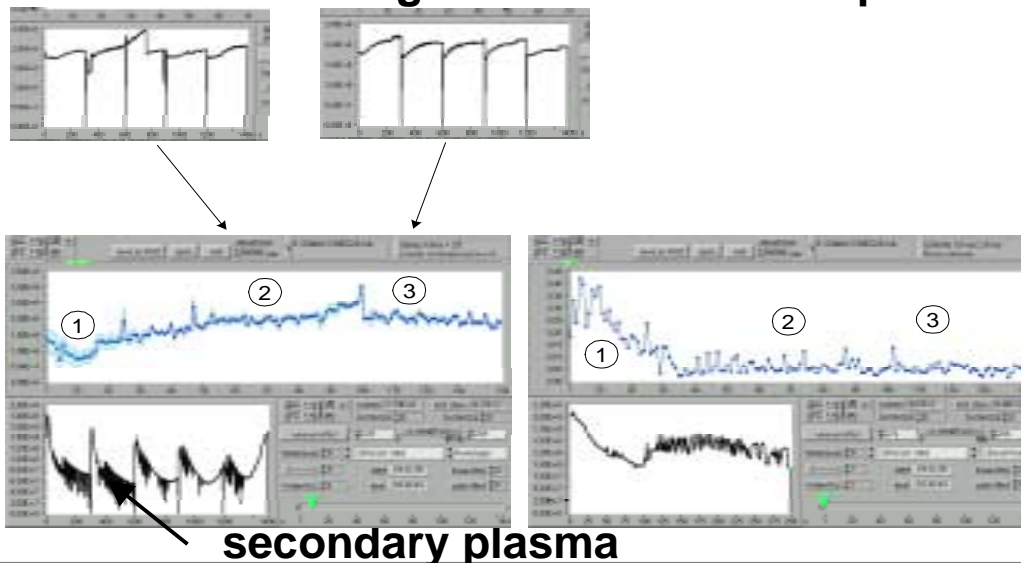
# Sputter clean control for in-line PVD

This work presents long term trends of plasma parameters provided by the plasma monitoring system Hercules/PL using a passive sensor mounted in a KF 40 standard flange. The Hercules system is insensitive to deposited layers and is mounted flat in the wall. The automatic monitoring system includes an on-line and model based data compression. The most sensitive parameter, determined in real-time, is the electron collision rate, whereas the electron density was on a comparable constant level of  $10^9 \text{ cm}^{-3}$ .



# Sputter clean control for in-line PVD

The impact of chamber cleans and the following reconditioning of the chamber are the main focus. Regular cleans including the replacement of chamber parts and quick clean are shown to lead to different results. The duration of the real conditioning of the chamber by empty carriers and the slowly growing stability of the process after a cleaning procedure are shown clearly. The occurrence of parasitic plasmas was found to be one significant indicator of process stability.



1. Conditioning of carriers after tool cleaning (phase 1)
2. Tool conditioning (phase 2)
3. Start of production with complete carriers (phase 3)

Source: P. Krenzlin, Gillette, AEC/APC Workshop Europe, Dresden, 2000.



# Applications

- |   |          |
|---|----------|
| - Development and optimizing processes  | yes      |
| - Long and short term tool stability    | yes      |
| - Tool & chamber matching               | yes      |
| - Control of chamber cleaning           | yes      |
| - Control of power coupling into plasma | yes      |
| - Endpoint detection                    | possible |
| - Layer resolution                      | possible |
| - Uniformity                            | yes      |
| - Reduction of test- and monitor wafers | yes      |
| - Detection of tool failure             | yes      |
| - Arcing detection                      | yes      |
| - Fault classification detection        | yes      |



# Benefit using Hercules

## Summary

- SEERS uses a general hydrodynamical (fluid) discharge model.
- The measured parameters are *absolute* values.
- The measured parameters depend significantly on chamber conditions and etch results.
- No difficult modeling by the user is necessary, results are available immediately.

Thank to Stefan Wurm, International Sematech, Andreas Steinbach, *Infineon Technologies* Dresden, Bernard Auda, *IBM Essonnes*, Mathias Hofman *AMD*, and Mike Robbins, *Motorola*, Gerard Petit and Michel Derie, *STM* for providing production data and diagrams and for helpful discussions. Special thank to Dr. Marita Kammeyer for preparation and helpful discussions.